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PTO/SB/01 (12-87)  
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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>	Attorney Docket Number	21828-705
	First Named Inventor	Rademacher et al.
	<i>COMPLETE IF KNOWN</i>	
	Application Number	09 / 254,800
	Filing Date	September 11, 1997
	Group Art Unit	
<input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Materials and Methods Relating to the Diagnosis and Treatment of Diabetes and Obesity**

the specification of which (Title of the invention)

☐ is attached hereto  
OR  
☒ was filed on (MM/DD/YYYY) 09/11/1997 as United States Application Number or PCT International Application Number PCT/GB97/02440 and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/GB97/02440 9618934.5	PCT	09/11/1997	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	United Kingdom	09/11/1996	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefits under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

**Burden Hour Statement:** This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

(July 1998)

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**DECLARATION — Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
PCT/GB97/02440	09/11/1997	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☐ Customer Number ☐ OR ☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
John W. Calkins	P43,523	Emily M. Haliday	38,903
Darren E. Donnelly	P44,093	Richard Hung	P43,684
Carol M. Gruppi	37,341	Margaret Chu Ikeya	P43,549
J. David Hadden	40,629	Andrew A. Kumamoto	40,690

☒ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number ☐ OR ☒ Correspondence address below

Name	Emily M. Haliday				
Address	McCutchen, Doyle, Brown & Enersen LLP				
Address	Three Embarcadero Center				
City	San Francisco	State	CA	ZIP	94111
Country	US	Telephone	(650)849-4910	Fax	(650)849-4800

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
Thomas William		Rademacher	
Inventor's Signature			Date 1/8/97
Residence: City	Oxford	State	Country United Kingdom
Post Office Address	Foxcombe, The Ridgeway, Boars Hill,		
Post Office Address			
City	Oxford	State	ZIP OX1 3QU
		Country	United Kingdom

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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PTO/SB/02C (3-87)

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DECLARATION		REGISTERED PRACTITIONER INFORMATION (Supplemental Sheet)	
Name	Registration Number	Name	Registration Number
Gina Pavlovic	42,986		
Michael J. Shuster	41,310		
Nina K. Srejovic	40,342		
William E. Thomson, Jr.	20,719		
Richard E. Wawrzyniak	36,048		
Rajiv Yadav	P43,999		

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(July 1998)

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet Page <u>4</u> of <u>4</u>
--------------------	--

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Patricia				McLean			
Inventor's Signature	<i>Patricia McLean</i>					Date	18 May 1999
Residence: City	Surrey	State		Country	United Kingdom	Citizenship	GB
Post Office Address	4 Hunters Court, Friars Lane, Richmond,						
Post Office Address							
City	Surrey	State		ZIP	TW9 1NX	Country	United Kingdom
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

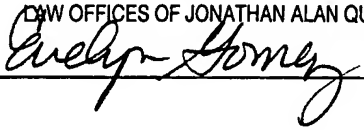
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DW OFFICES OF JONATHAN ALAN QUINE

By



Attorney Docket No. 1012E-910000US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent/application of:

Patent No.:

Application No.: 09/254,800

Filed:

For: Materials and Methods Relating to the  
Diagnosis and Treatment of Diabetes  
and Obesity

APPOINTMENT OF ASSOCIATE  
ATTORNEY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby appoint the attorneys and agents at Customer Number 22798 to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. The attorneys and agents at Customer Number 22798 include:

Jonathan Alan Quine, Ph.D., Reg. No. 41,261; Stacy Landry, Ph.D., Reg. No. 42,779; Christopher C. Sappenfield, Reg. No. 45,073; Gwynedd Warren, Reg. No. 45,200; Angela P. Horne, Reg. No. 41,079, Stephen J. LeBlanc, Reg. No. 36,579, Tom Hunter, Ph.D., Reg. No. 38,498, and Emily Haliday, Ph.D., Reg. No. 38,903.

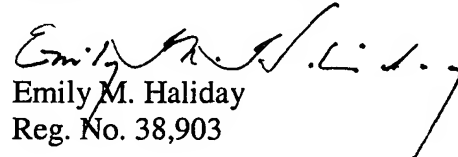
Patent No.:  
Application No.: 09/254,800  
Page 2

COMMON PARENT

Please direct all future correspondence regarding the subject application to  
CUSTOMER NUMBER 22798, that is:

LAW OFFICES OF JONATHAN ALAN QUINE  
P.O. BOX 458  
Alameda, CA 94501  
(510) 337-7871/Fax (510) 337-7877

Respectfully submitted,

  
Emily M. Haliday  
Reg. No. 38,903

LAW OFFICES OF JONATHAN ALAN QUINE  
P.O. BOX 458  
Alameda, CA 94501  
(510) 337-7871  
Fax (510) 337-7877

JUN - 8 2000

MDB&E



MAY 30, 2000

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MCCUTCHEN, DOYLE, BROWN & EMERSEN  
EMILY M. HALIDAY  
THREE EMBARCADERO CENTER  
SAN FRANCISCO, CALIFORNIA 94111

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Washington, D.C. 20231



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RECORDATION DATE: 06/11/1999

REEL/FRAME: 010661/0522  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

RADEMACHER, THOMAS WILLIAM

DOC DATE: 05/18/1999

ASSIGNOR:

MCLEAN, PATRICIA

DOC DATE: 05/18/1999

ASSIGNEE:

RADEMACHER GROUP LIMITED  
BOUVERIE HOUSE, 154 FLEET STREET  
LONDON, EC4 2HX, GREAT BRITAIN

SERIAL NUMBER: 09254800

FILING DATE: 06/11/1999

PATENT NUMBER:

ISSUE DATE:

DOROTHY RILEY, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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1213

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Express Mail

05-30-2000



101334559

101 Rec'd PCT/PTO 11 JUN 1999

COVER SHEET

U.S. Department of Commerce

NLY

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name and address of conveying party(ies):

Name: Thomas William Rademacher  
 Address: Foxcombe, The Ridgeway, Boars Hill  
Oxford, OX1 3QU United Kingdom

☒ Individual ☐ Association  
☐ General Partnership ☐ Limited Partnership  
☐ Corporation, State of \_\_\_\_\_  
☐ Other: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

## 2. Name and address of receiving party(ies):

Name: Rademacher Group Limited  
 Address: Bouverie House, 154 Fleet Street  
London, EC4 2HX Great Britain

Additional name(s) of receiving party(ies) attached?

☐ Yes ☒ No

## 3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: May 18, 1999

09/254800

## 4. Application Number(s) or Patent Number(s):

A. Patent Application Number(s): 09/254,800

Title: Materials and Methods Relating to the  
Diagnosis and Treatment of Diabetes  
and Obesity  
 Filed: September 11, 1997

Additional numbers attached? ☐ Yes ☒ No

## B. Patent Number(s):

Title: \_\_\_\_\_  
 Filed: \_\_\_\_\_

Additional numbers attached? ☐ Yes ☐ No

## 5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Emily M. Haliday  
 Address: McCutchen, Doyle, Brown & Enersen  
Three Embarcadero Center  
San Francisco, California 94111

6. Total number of applications and patents involved: 17. Total fee (37 C.F.R. § 3.41)(\$40.00 per assignment): \$40.00

☒ Enclosed  
☒ Authorized to be charged to deposit account.  
☒ The Commissioner is authorized to charge underpayment of any fees or credit any overpayment to deposit Account Number 13-0257.

8. Deposit Account Number: 13-0257

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Emily M. Haliday Reg. No.: 38,903  
 Name, Registration Number

Signature

Date

Total number of pages including cover sheet, attachments and document: 5

Mail documents to be recorded with  
 required cover sheet information to:

Commissioner of Patents and Trademarks  
 Box Assignments  
 Washington, D.C. 20231

06/16/1999 PVLPE 00000102 09254800

02 FC:581

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Box 1 Additional Names:

Name: Patricia McLean  
Address: 4 Hunters Court, Friars Lane, Richmond  
Surrey, TW9 1NX United Kingdom

Name:  
Address:

**PATENT APPLICATION**  
**Assignment**

For good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, inventors:

Thomas William Rademacher and Patricia McLean

Who have created a certain invention for which we have executed, on or about the date of this assignment, an application for United States Letters Patent entitled **MATERIALS AND METHODS RELATING TO THE DIAGNOSIS AND TREATMENT OF DIABETES AND OBESITY** and assigned U.S. Application No. 09/254,800.

Do hereby sell, assign and transfer to **Rademacher Group Limited**, having a place of business at Bouverie House, 154 Fleet Street, London EC4 2HX, Great Britain, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possession and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, act, and treaties, including all future conventions, unions, agreements, acts, and treaties;


Agree that **Rademacher Group Limited**, hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, successors, assigns, or legal representatives; and

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Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same has herein expressed is possessed by the undersigned.

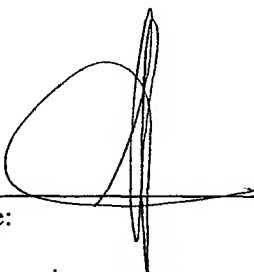
IN THE TESTIMONY WHEREOF I have hereunto set my signature on the date indicated below.

Thomas William Rademacher

  
Signature: \_\_\_\_\_

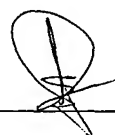
18/5/99  
Date: \_\_\_\_\_

Witness:

  
Signature: \_\_\_\_\_  
18.5.99

Date: \_\_\_\_\_

Witness:

  
Signature: \_\_\_\_\_

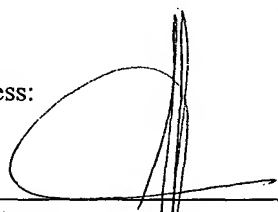
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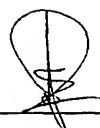
Patricia McLean

Patricia McLean  
Signature:

18.5.99  
Date:

Witness:   
Signature:

18.5.99  
Date:

Witness:   
Signature:

18/05/99  
Date:



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EMILY M. HALIDAY  
P.O. BOX 458  
ALAMEDA, CALIFORNIA 94501

JUN 13 2002

Commissioner for Trademarks  
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RECORDATION DATE: 03/25/2002

REEL/FRAME: 012760/0210  
NUMBER OF PAGES: 2

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

RADEMACHER GROUP LIMITED

DOC DATE: 10/08/2001

ASSIGNEE:

RODARIS PHARMACEUTICALS LIMITED  
FLOREY HOUSE  
OXFORD SCIENCE PARK  
OXFORD, OX4 4GA, UNITED KINGDOM

SERIAL NUMBER: 09254800  
PATENT NUMBER:

FILING DATE: 06/11/1999  
ISSUE DATE:

ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
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04-10-2002

Attorney Docket No. 1012E-910000US

FORM PTO-1595 (Rev. 6-93)		U.S. Department of Commerce Patent and Trademark Office	
To the Honorable Asst. Commissioner		Final documents or copy thereof	
1. Name of conveying party(ies):  Rademacher Group Limited Additional name(s) of conveying parties attached? No		2. Name and address of receiving party(ies)  Name: Rodaris Pharmaceuticals Limited Internal Address: Street Address: Florey House Oxford Science Park Oxford, OX4 4GA United Kingdom Additional names and addresses attached? No	
3. Nature of conveyance:  <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: October 8, 2001			
4. Application Number(s) or Patent Numbers. If this document is being filed together with a new application, the execution date of the application is:  A. Patent Application No(s): <u>09/254,800</u> B. Patent No(s): Additional numbers attached? No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C. P.O. Box 458 Alameda, CA 94501 (510) 337-7871		6. Total number of applications and patents involved 1 7. Total fee (37 CFR 3.41): -----\$40.00 <input type="checkbox"/> Enclosed      X Charge Fees to Deposit Account X Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account. 8. Deposit account number: 50-0893	
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9. Statement and signature.  <i>To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.</i>  Emily M. Haliday <i>Emily M. Haliday</i> <i>Nov 15, 2002</i> Name of Person Signing      Signature      Date Atty Reg. No. 38,903      Total number of pages including cover sheet, attachments and document 2			
10. Change Correspondence Address to that of Part 5?      X Yes <input type="checkbox"/> No			
OMB No. 0651-0011 (exp. 4/94)			
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/10/2002 6TON11 00000025 500893 09254800

FC:581 40.00 CH



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# THE COMPANIES ACT 1985

Company No. 3237671

The Registrar of Companies for England and Wales hereby certifies that

**RODARIS PHARMACEUTICALS LIMITED**

formerly called **RADEMACHER GROUP LIMITED**

which name was changed by special resolution was incorporated under the  
**Companies Act 1985**

as a limited company on the **14th August 1996** and that the certificate of  
incorporation altered to meet the circumstances of the case was issued on  
the **8th October 2001**

Given at Companies House, Cardiff the **3rd December 2001**

*Eira Wilson*  
**EIRA WILSON**

for the Registrar of Companies



*Companies House*

— for the record —